

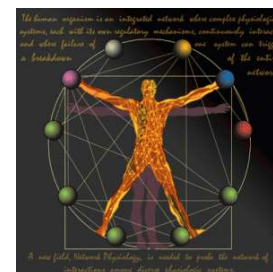
# Interacting networks of sleep and wake-promoting neurons and their role in triggering arousals from sleep



**Ronny Bartsch**



Bar-Ilan University  
אוניברסיטת בר-אילן



# Acknowledgements



*Collaborators:*

***Hila Dvir, Shlomo Havlin*** – *Physics Department, Bar-Ilan University*

***Lior Appelbaum*** – *Faculty of Life Sciences, Bar-Ilan Univ.*

***Plamen Ch. Ivanov*** – *Keck Laboratory for Network Physiology, Boston University*

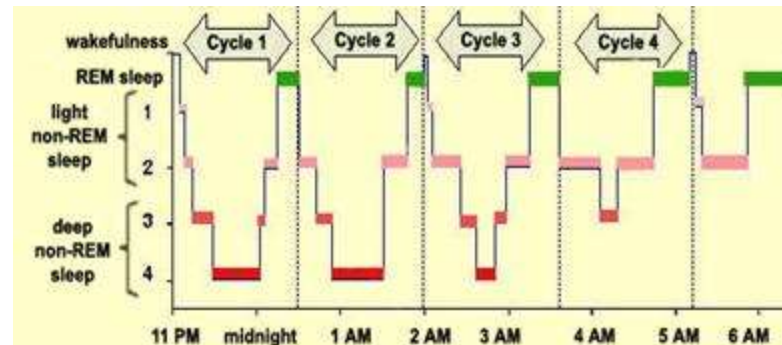
*Grants:*

*Marie Curie Fellowship (IIF), German-Israeli Foundation (GIF)*

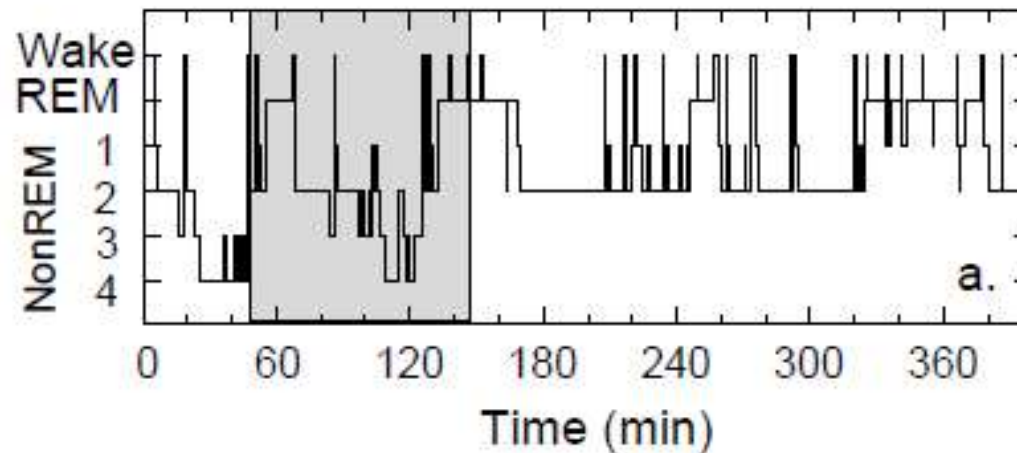
# Sleep dynamics – Conventional view and Observations

Sleep dynamics exhibit ultradian rhythms with  $\sim 90$  min period comprised of light sleep, deep sleep and REM sleep  $\rightarrow$  “Sleep Cycle”

Textbook:



Reality:

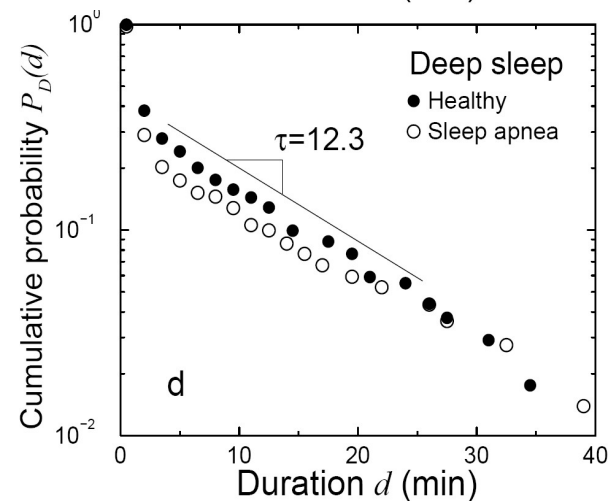
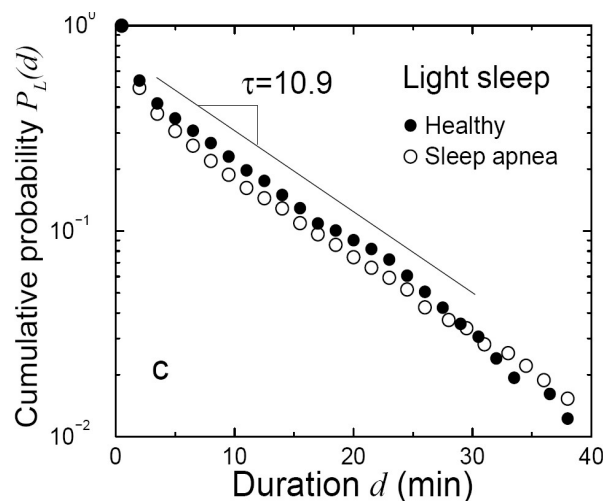
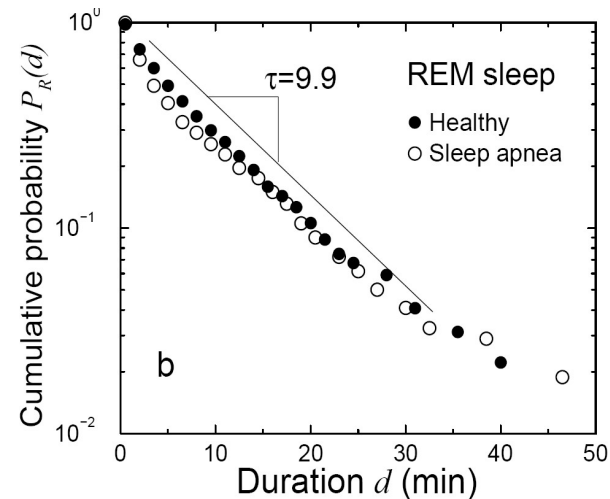
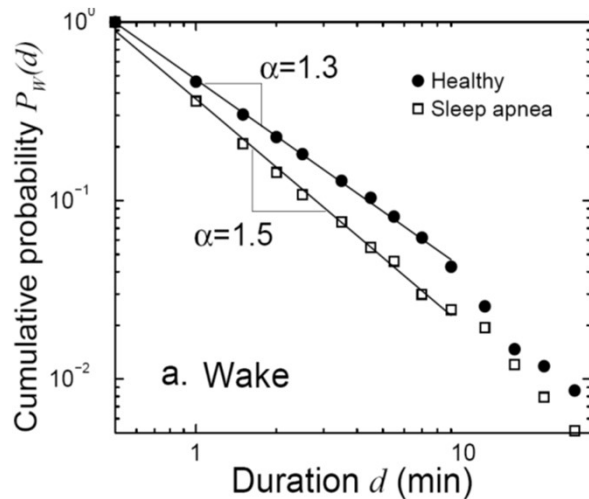


- Brief awakenings from sleep (arousals) on scales of sec to min appear random in time and occur throughout the sleep period

# Probability distributions of arousal and sleep-stage durations

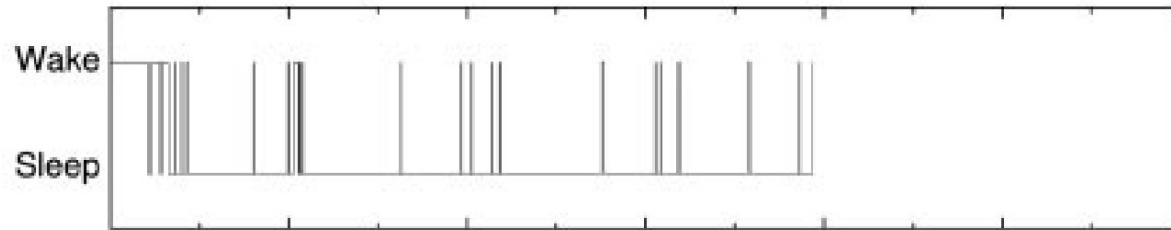
$P_k(d) \equiv \int_d^\infty p_k(r) dr \dots$  cumulative probability distribution

$p_k(d) \dots$  probability density function to find sleep stage k with duration d

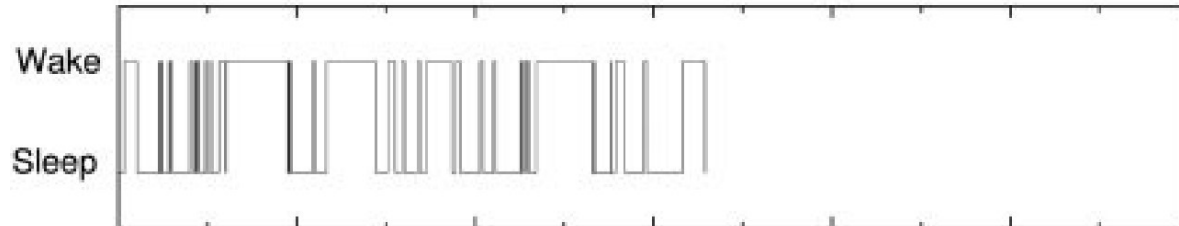


# Probability distributions of arousal/sleep durations across species

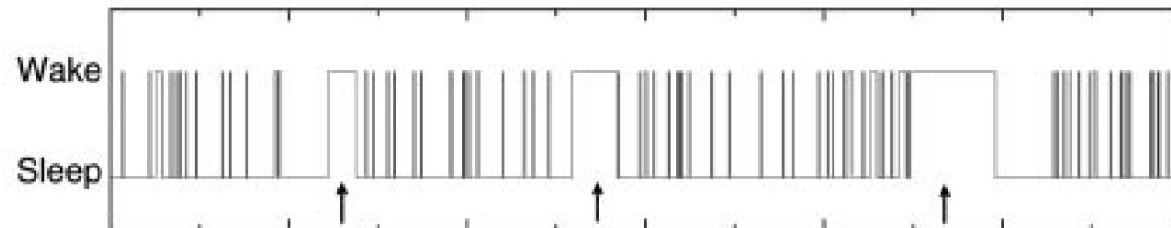
Human



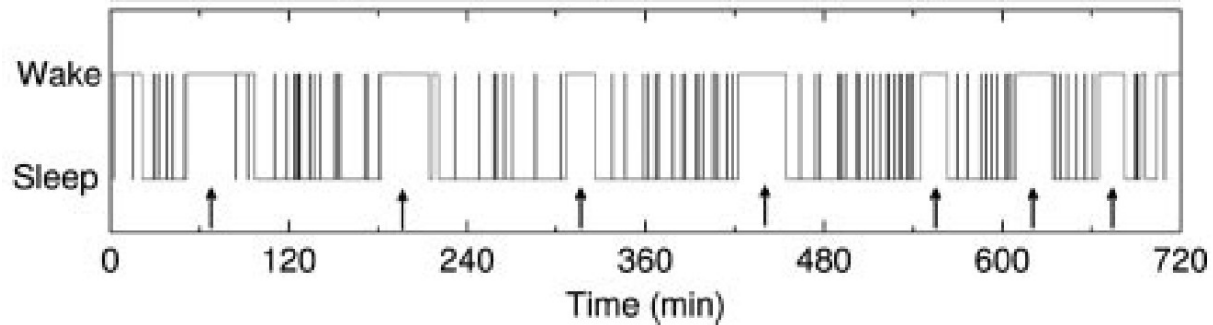
Cat



Rat

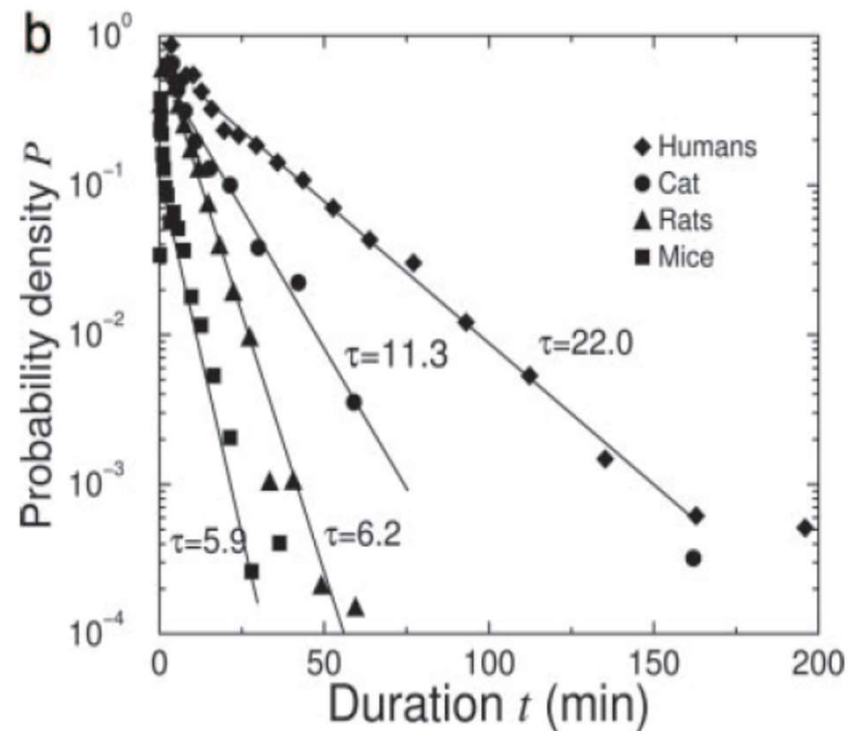
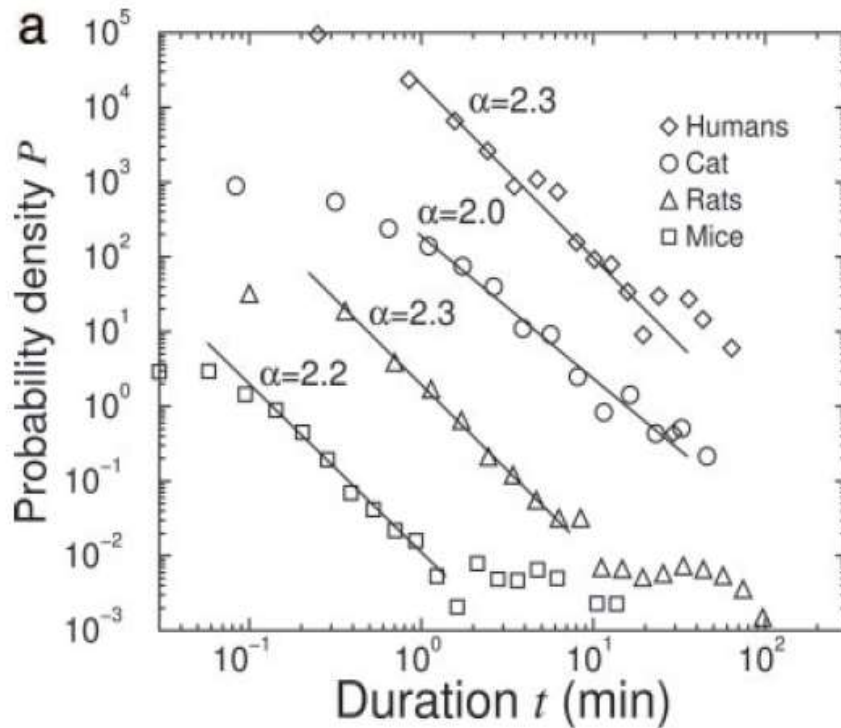


Mouse



Lo, Ivanov et al., PNAS 101, 17545 (2004)

# Probability distributions of arousal/sleep durations across species



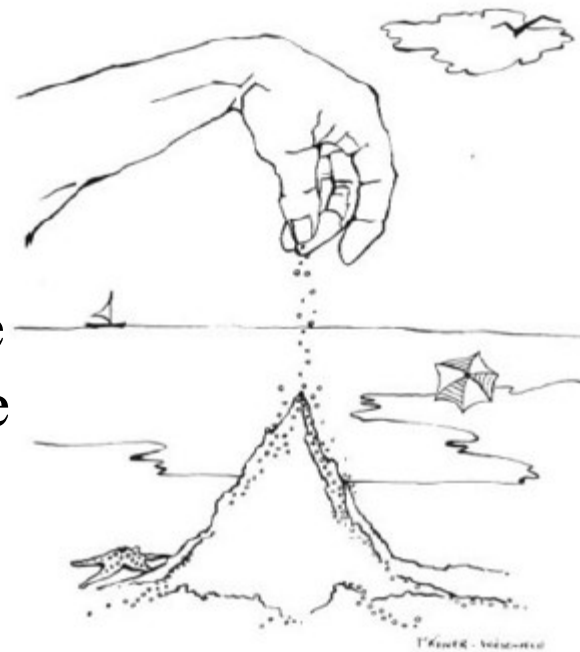
→ Co-existence of both scale-invariant (power-law) and exponential (with a characteristic time scale) processes as an output of a single sleep regulatory mechanism across various mammalian species

→ Has not been observed in other integrated physiological systems under neural regulation

# Relation to self-organized criticality (SOC)

- Bak-Tang-Wiesenfeld model
- slowly driven
- non-equilibrium steady state
- avalanche of any size
- power law statistics of avalanche size
- waiting times between avalanches are exponentially distributed
- Bak: “self-organized criticality”

Sandpile Metaphor



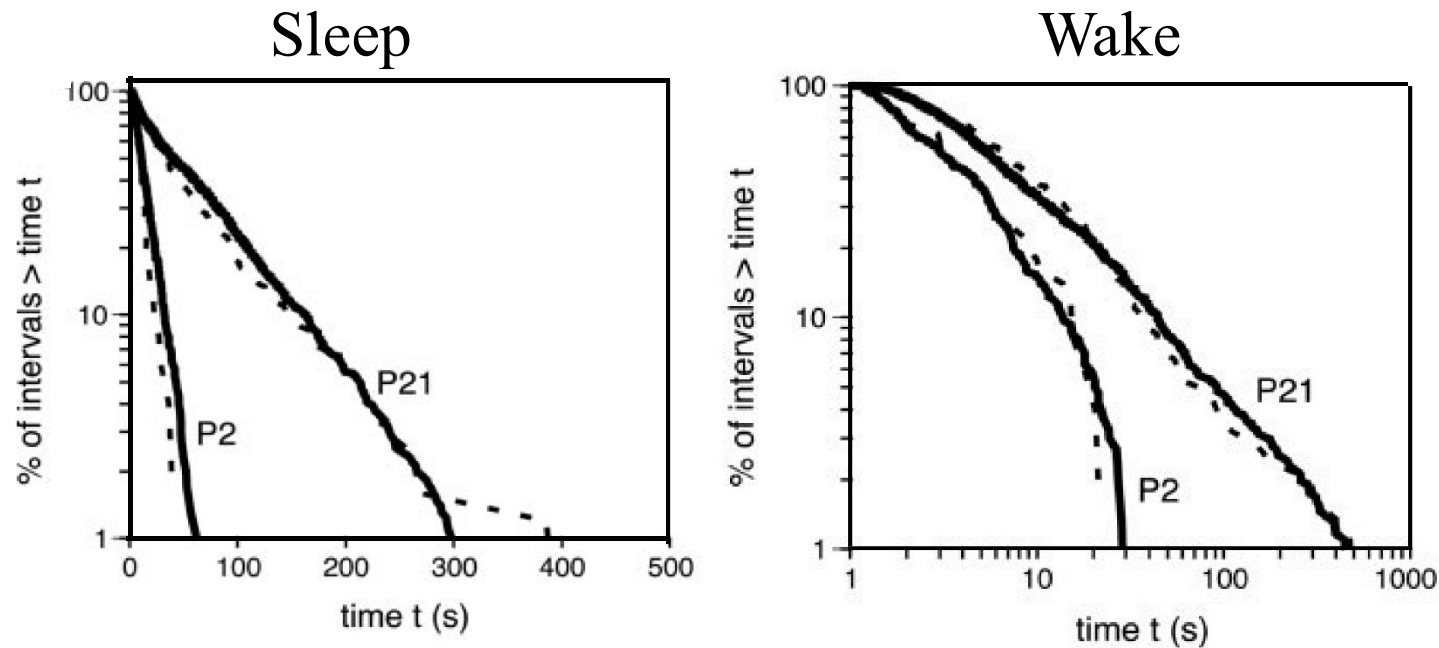
Jens Feder et. al (1995): SOC found in rice piles



*Basmati Rice!*



# Dynamic characteristics of sleep/wake transitions – Scaling changes with maturation in rats



**Power-law during wake gradually emerges with maturation.**

M.S. Blumberg, A.M.H. Seelke, S.B. Lowen, K.A.E. Karlsson, "Dynamics of sleep-wake cyclicity in developing rats", *PNAS* **102**: 14860 (2005).



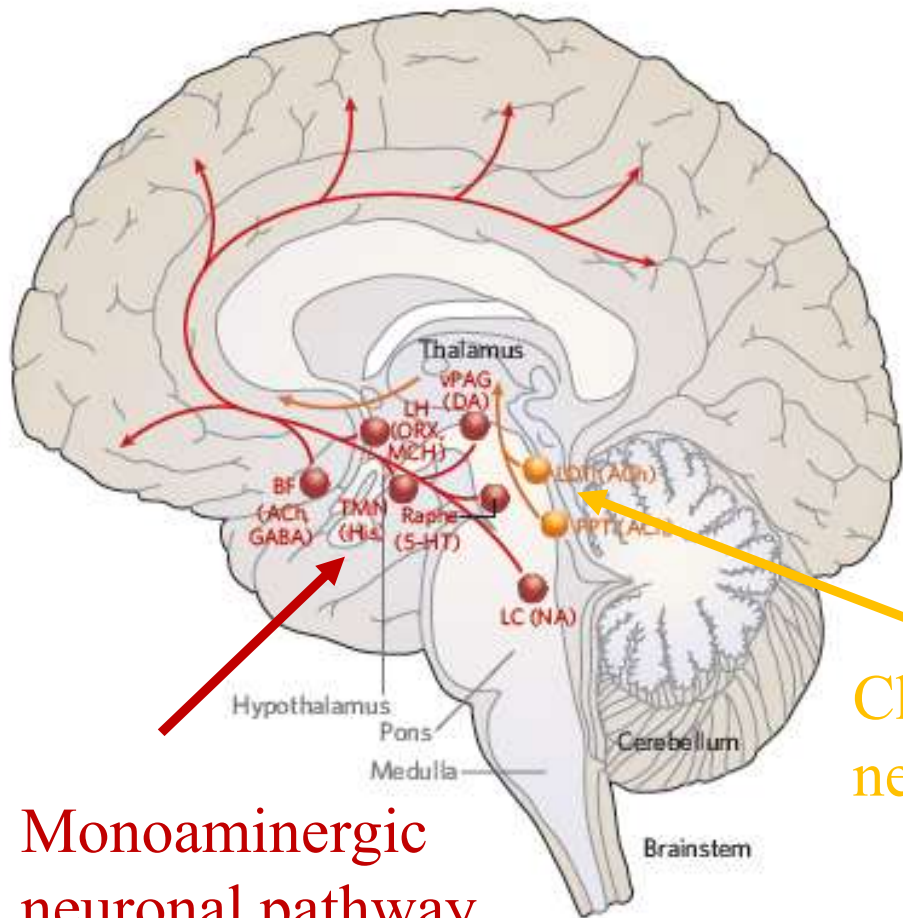
# Modeling arousal dynamics

Question: Can we come up with a simple model including a network of sleep- and wake-promoting neurons to reproduce the observed arousal/sleep dynamics?

Previous work by Lo, Ivanov et al., EPL 57, 625 (2002):  
biased diffusion model

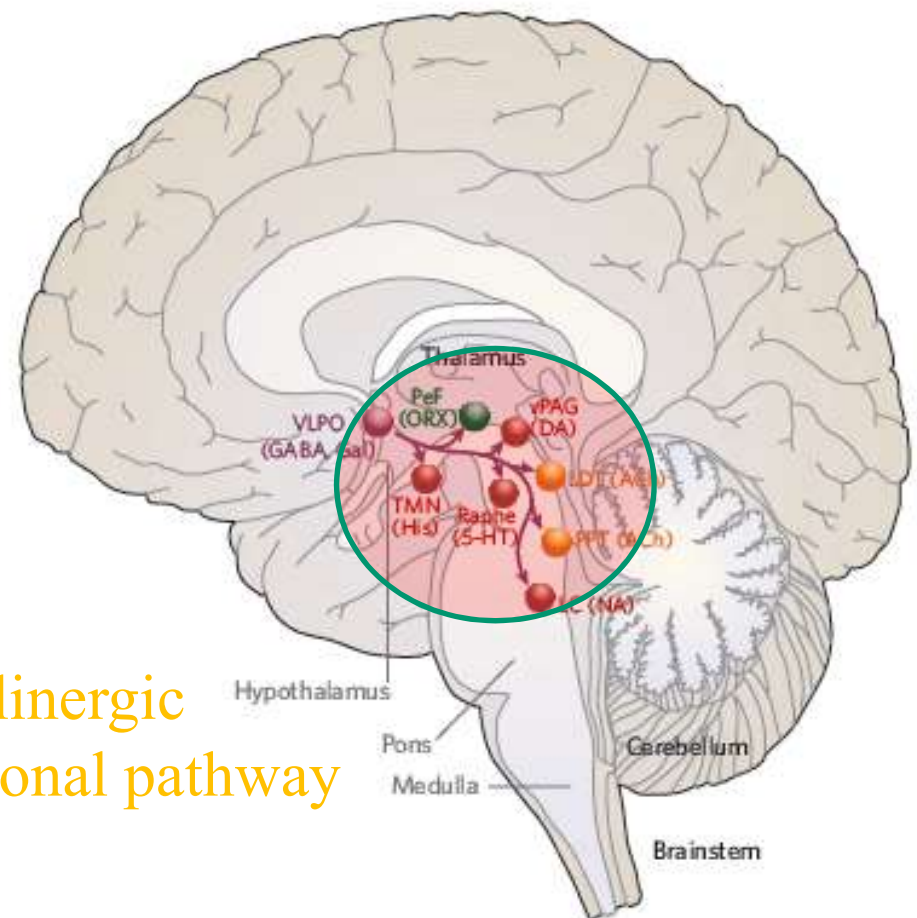
# Neuronal groups and pathways involved in sleep/wake generation

Wake promoting



Monoaminergic neuronal pathway

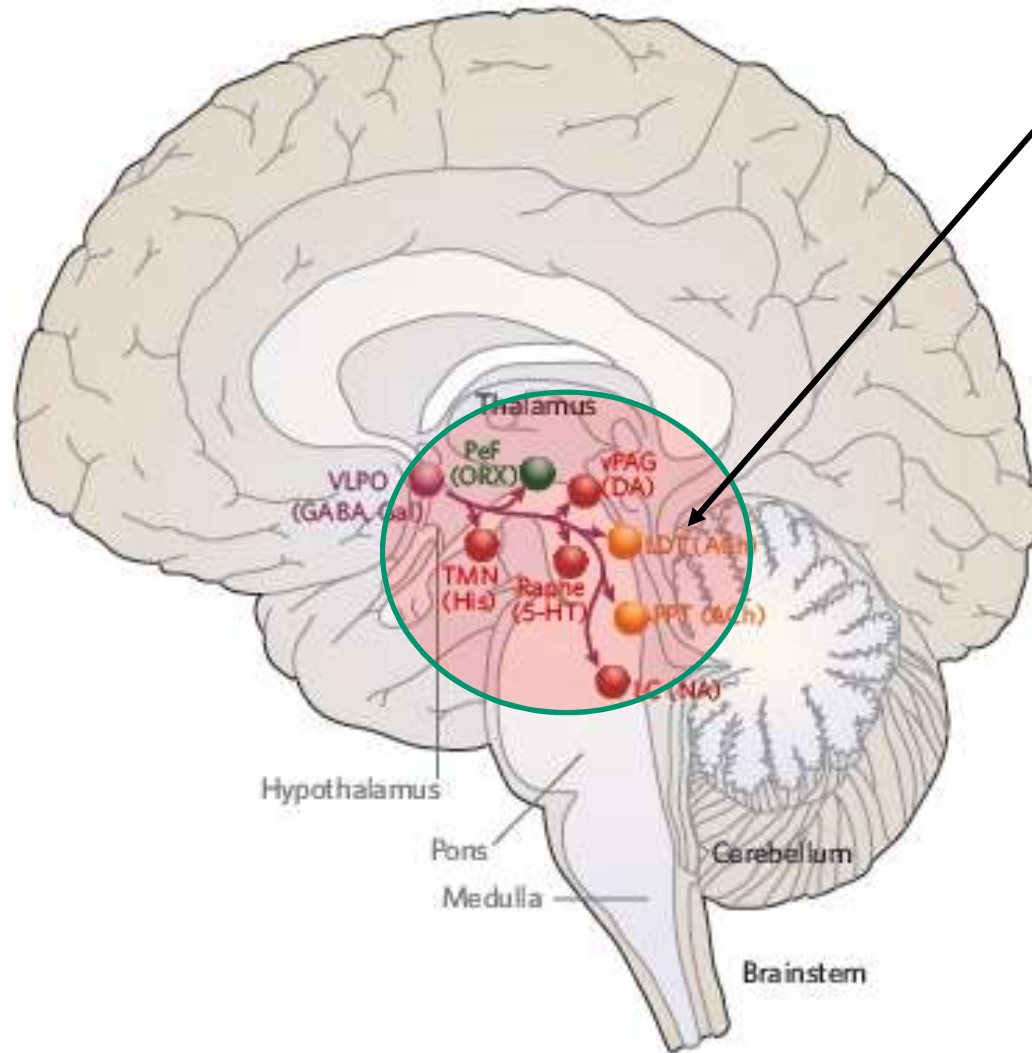
Sleep promoting



Cholinergic neuronal pathway

# Neuronal groups and pathways involved in sleep/wake generation

During Sleep



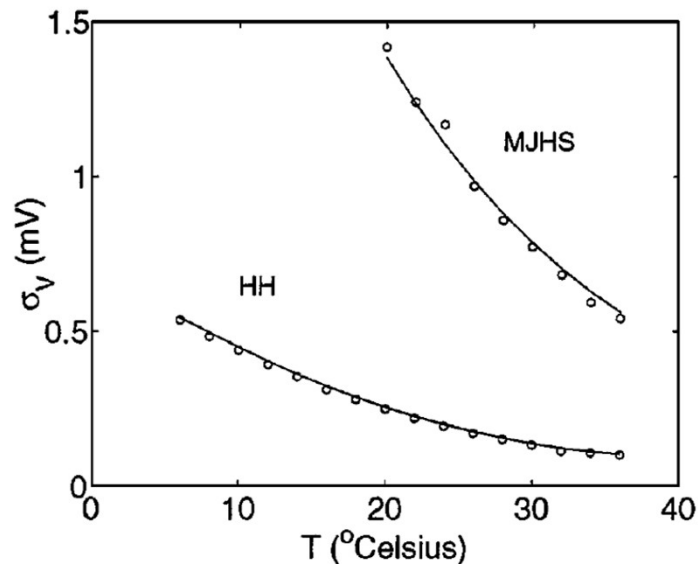
Wake promoting neurons are suppressed by VLPO but still have intrinsic noise “neuronal noise”

# Neuronal noise – origin and characteristics

“neuronal noise” = subthreshold voltage fluctuations

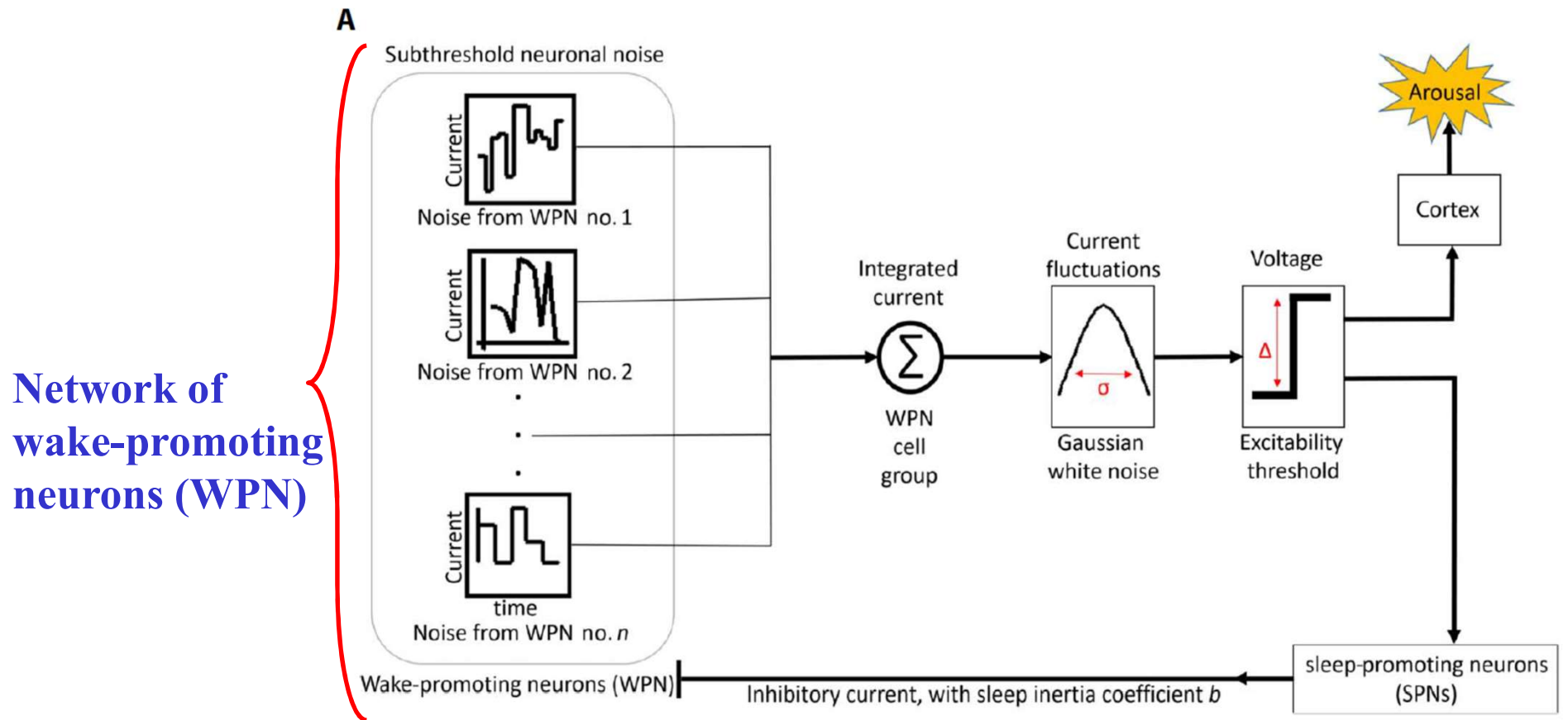
Two main sources (Manwani and Koch, Neural Comput. 11, 1831 (1999)):

- 1) stochastic openings and closings of voltage gated membrane channels
- 2) random background synaptic activity

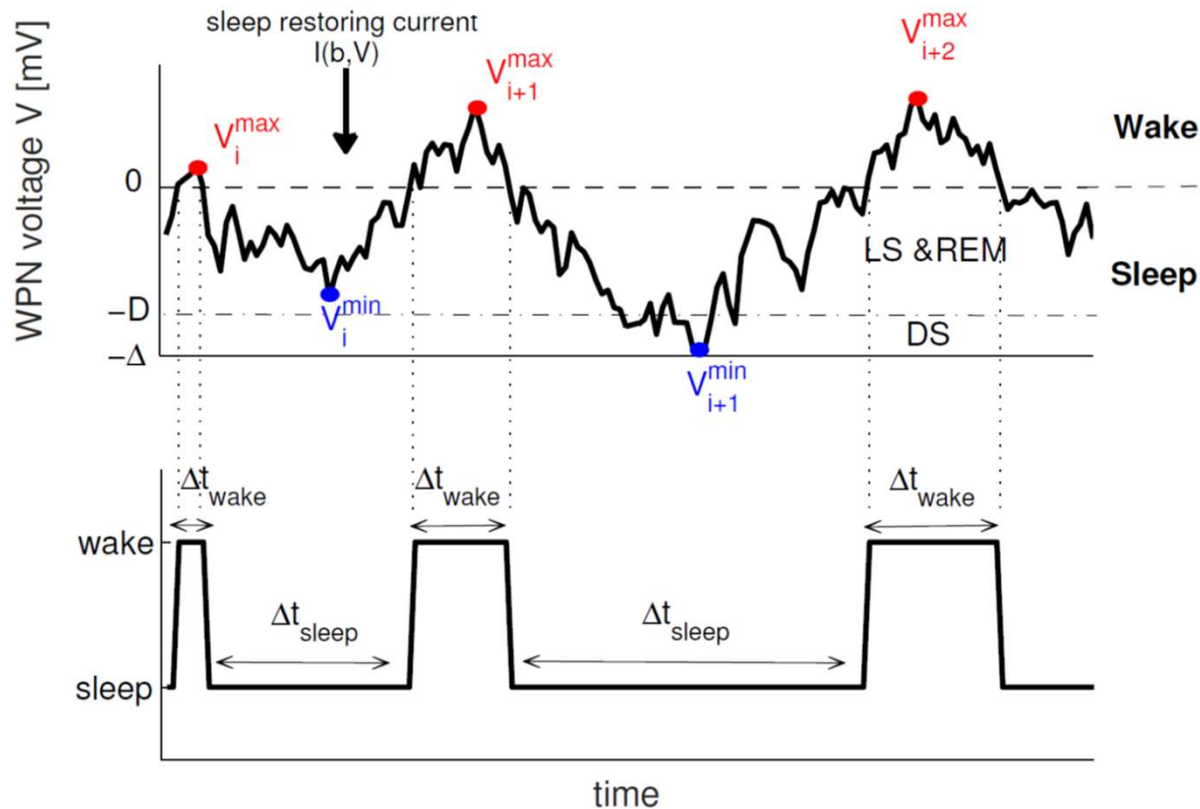


subthreshold voltage fluctuations are temperature dependent and decrease with increasing temperature (Steinmetz et al., J. Comput. Neurosci. 9, 133 (2000))

# Modeling arousal dynamics: integrated neuronal noise of WPN can trigger arousal



# Modeling arousal dynamics: integrated neuronal noise of WPN can trigger arousal



during sleep

$$dV = \sigma \cdot dw \quad \text{for } -\Delta \leq V < 0$$

$$V = -\Delta \quad \text{for } V < -\Delta$$

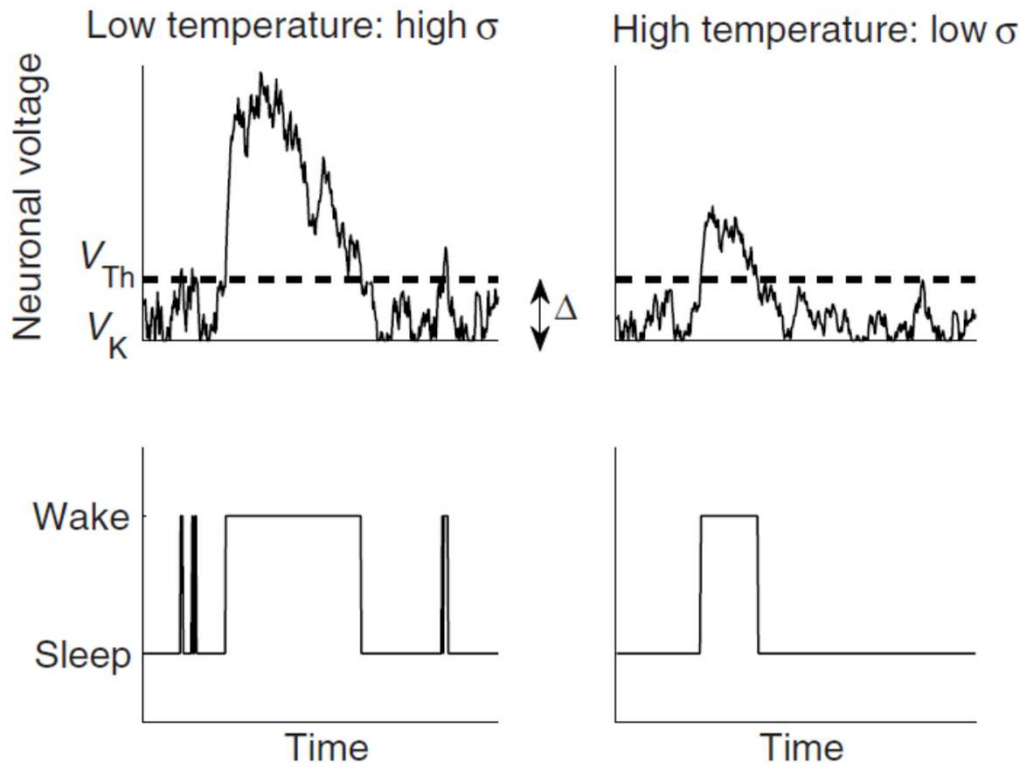
during arousal

$$dV = -\frac{b}{V+1} \cdot dt + \sigma \cdot dw, \quad \text{for } V \geq 0$$

$$I = -b \cdot C/(V+1) \quad \text{Sleep restoring current}$$

# Modeling arousal dynamics: lower temperature yields more arousals?

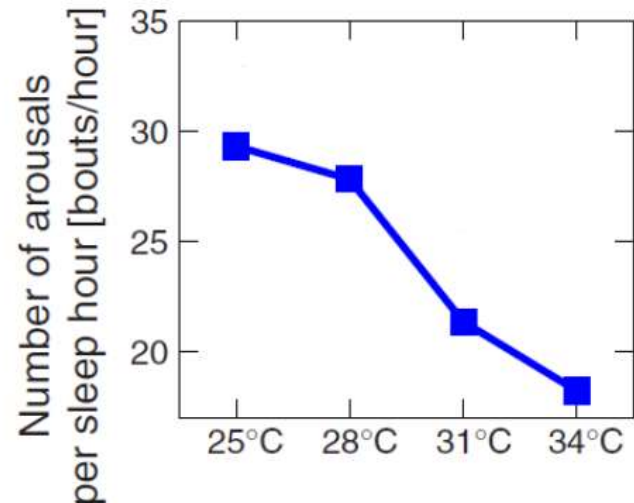
**B**



during sleep

$$dV = \sigma \cdot dw \quad \text{for } -\Delta \leq V < 0$$

$$V = -\Delta \quad \text{for } V < -\Delta$$



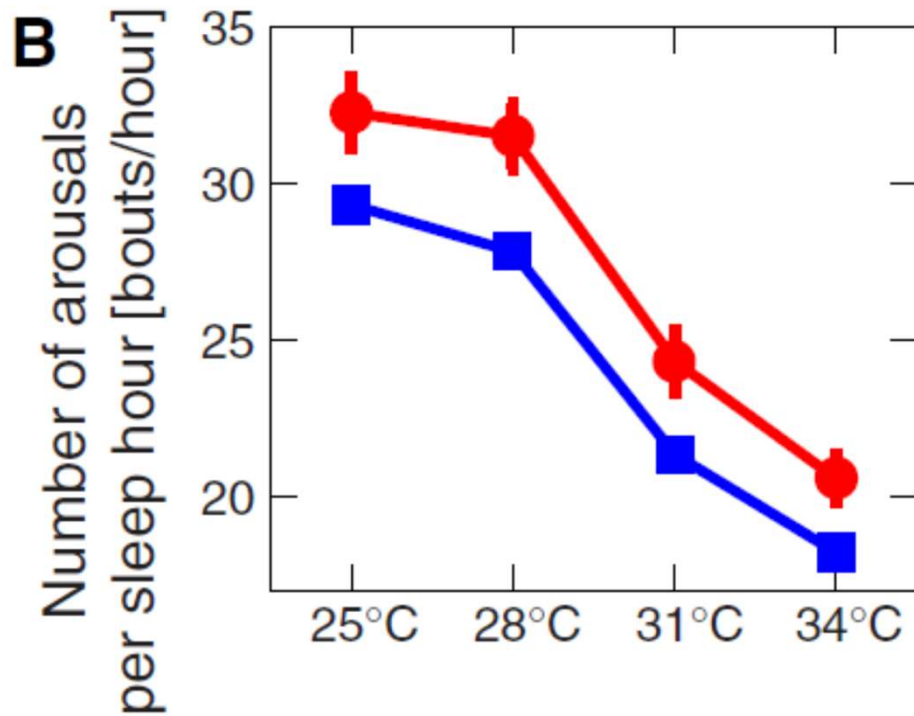
during arousal

$$dV = -\frac{b}{V+1} \cdot dt + \sigma \cdot dw, \quad \text{for } V \geq 0$$

$$I = -b \cdot C/(V+1) \quad \text{Sleep restoring current}$$

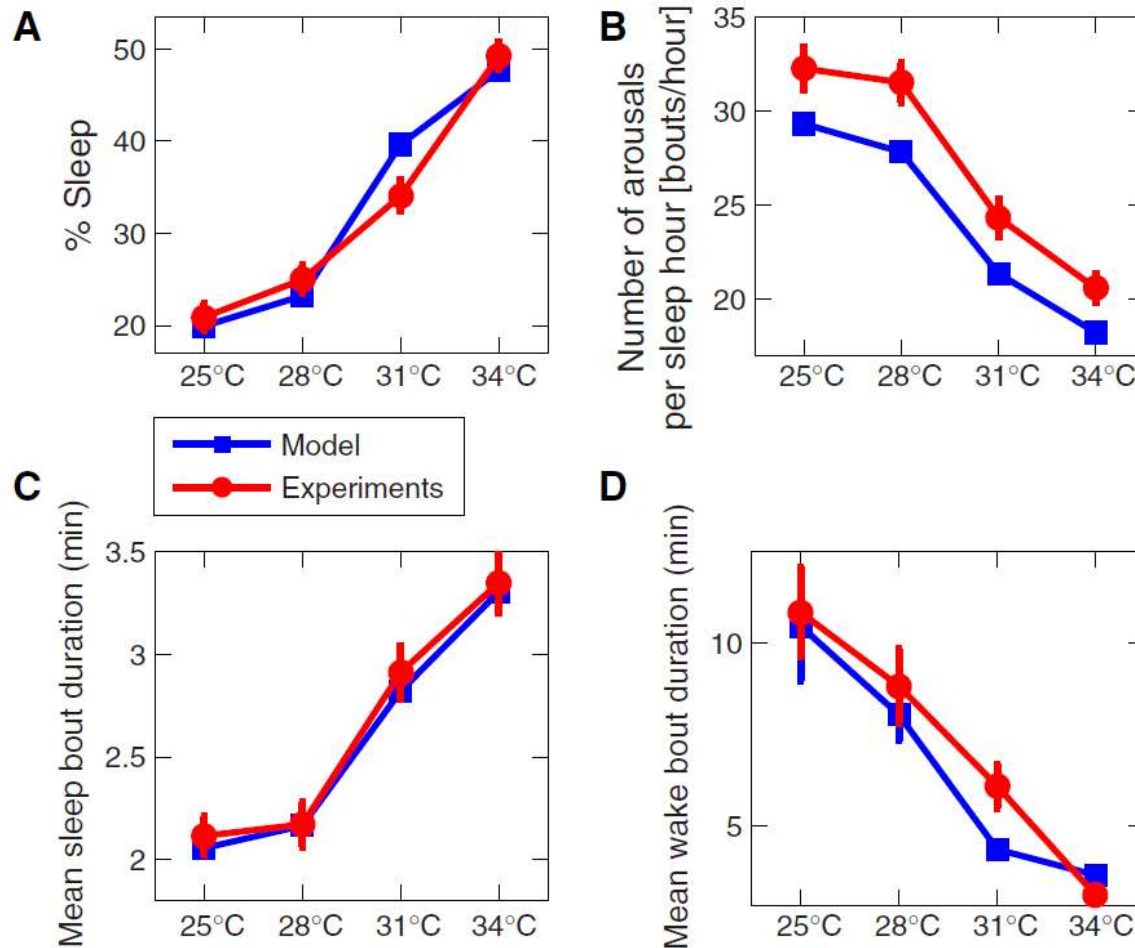
# How to test model prediction experimentally?

## Experiment on fish!



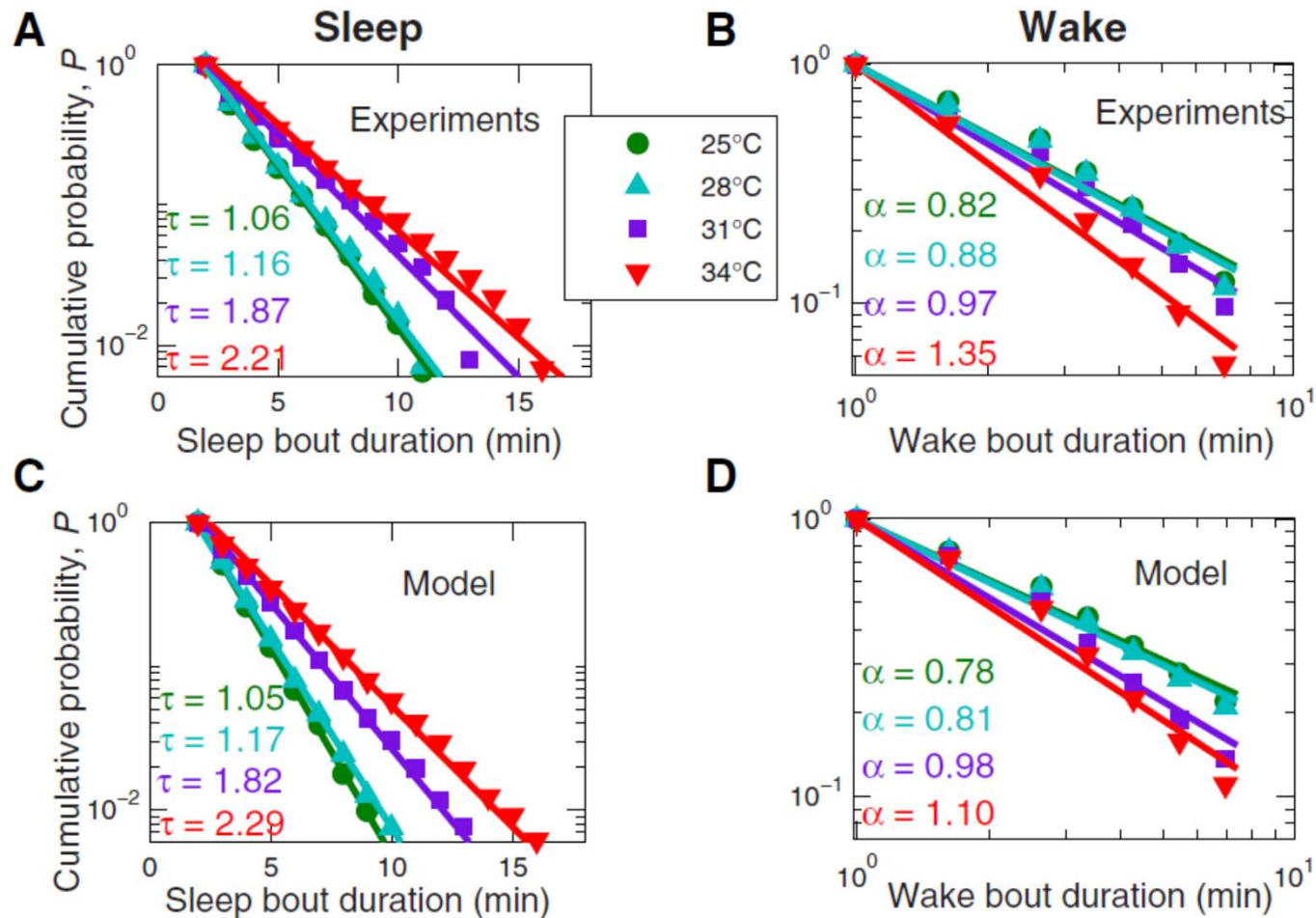


# Modeling dynamics of sleep/wake transitions



- *Model agrees with data for both wake and sleep intervals*

# Modeling arousal dynamics: distribution of wake and sleep bout durations



$\tau$  (characteristic time of sleep bout duration) increases with Temp

$\alpha$  (power law exponent for wake bout durations) increases with Temp

# Summary



- brief arousals are an integral part of healthy sleep regulation, and are found in mammalian and non-mammalian species
- arousal durations show power-law distribution (scale-invariant), sleep stage durations show exponential distribution (scale-specific)
- accumulated neuronal subthreshold voltage fluctuations in wake-promoting neurons can possibly be an origin of spontaneous brief arousals during sleep
- arousal statistics changes with temperature (more arousals at lower temp) at least for ectothermic animals

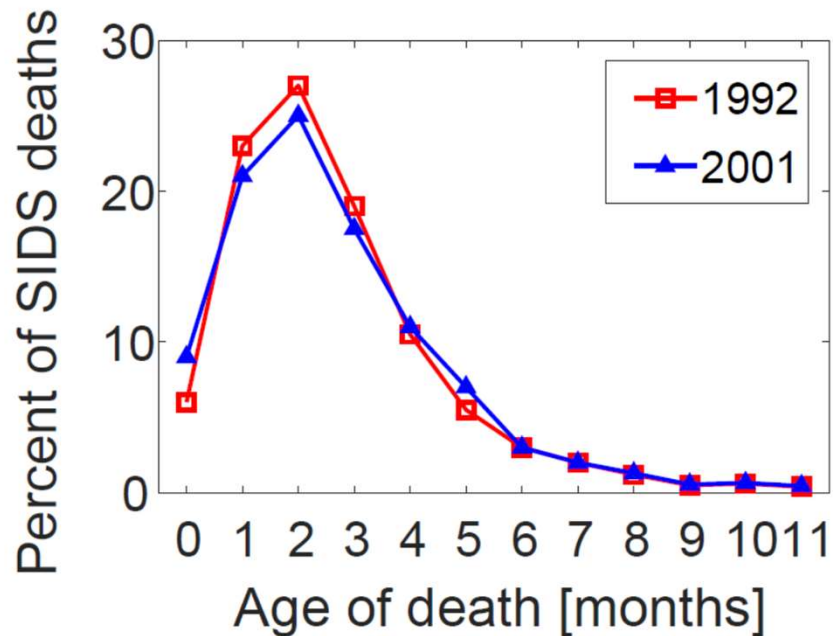
**Why do we care?**



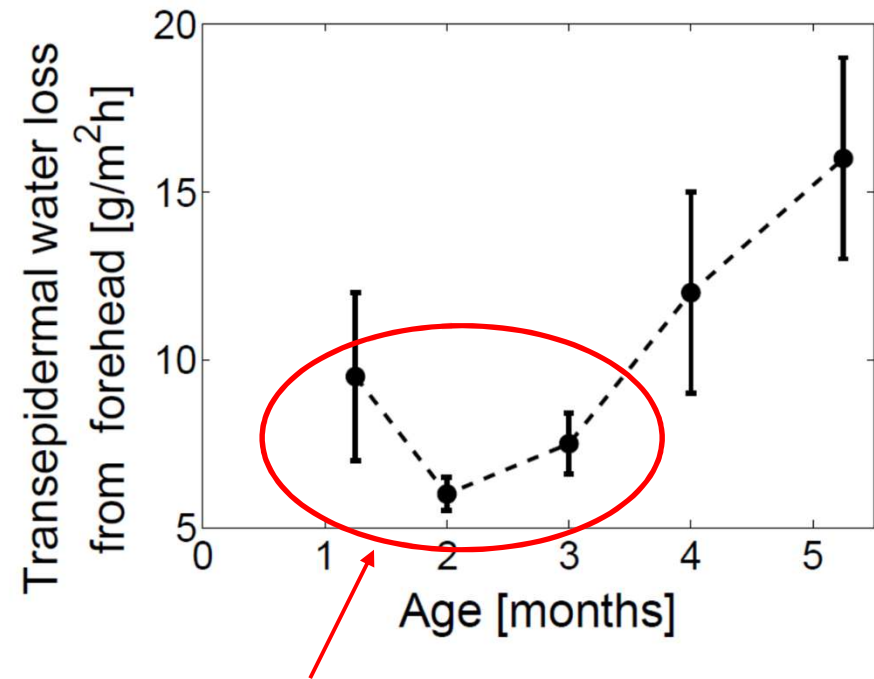
# Significance

## Sudden Infant Death Syndrome (SIDS)

a

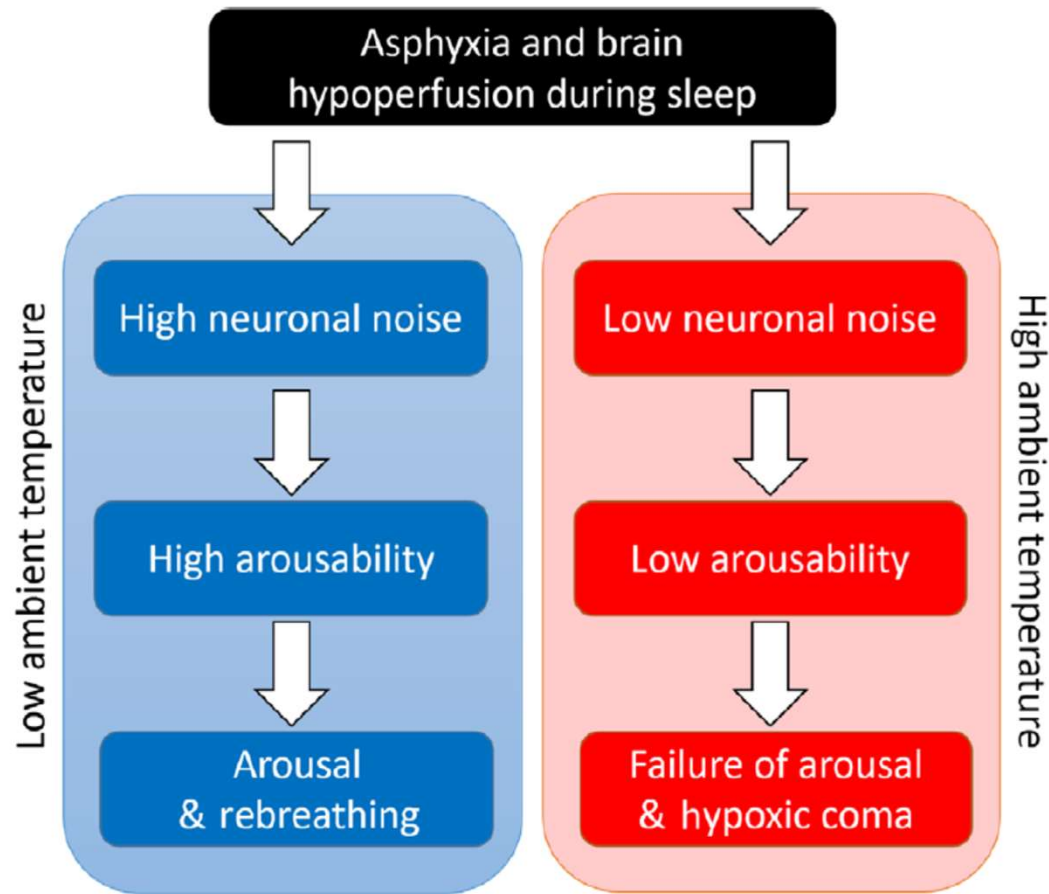


b



Thermoregulation in very young infants not fully developed (show ectothermic traits similar to fish); more susceptible to higher ambient temperature and in higher risk for SIDS

# Sudden Infant Death Syndrome (SIDS)



Dvir et al., Science Advances 4, eaar6277 (2018)